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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Richard S. Myers, Jr.
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Applicant: Rosenthal, et al.
Serial No.: 09/864,728
Filed: May 24, 2001
For: Linker Arm for Nanocrystals and Compounds Thereof
Group Art Unit: 1614
Examiner: Not yet assigned
Attorney's Docket No. 11672N/020878
Customer No.: 32885

SMALL ENTITY TRANSMITTAL FORM

Box Non-Fee Amendment
Assistant Commissioner of Patents
Washington, DC 20231

July 29, 2002

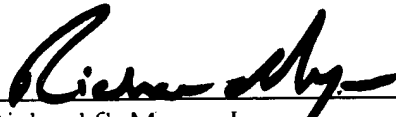
Dear Sir:

1. Transmitted herewith is a Preliminary Amendment in the above-identified application.
2. Small Entity Status remains proper for this Application.
3. The enclosed document is being transmitted via the certificate of mailing provisions of 37 C.F.R. § 1.8.
4. There is no fee required for this Preliminary Amendment.

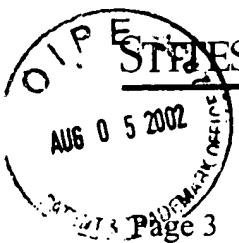
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If the Examiner has any questions regarding this correspondence, or the Application in general, he is respectfully invited to contact the undersigned.

Respectfully submitted,



Richard S. Myers, Jr.
Registration No. 42,022
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Attorney for Applicant



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CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Small Entity Transmittal Form and enclosures (Preliminary Amendment and Return Receipt Postcard) are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, DC 20231

on July 29, 2002.

Richard S. Myers, Jr.
Registration No. 42,022

11672N:020878:497540:1:NASHVILLE